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TRANSMITTAL LETTER			Case No. 7103-93
Serial No. 09/386,745	Filing Date August 31, 1999	Examiner V. Perez-Ramos	Group Art Unit 1765
Inventor(s) Cangshan Xu, et al.			
Title of Invention WINDOWLESS BELT AND METHOD FOR IMPROVED IN-SITU WAFER MONITORING			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Second Response and Amendment; PTO Form 1449 with two cited references; Third Supplemental Information Disclosure Statement And Petition;

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity	
Rate	Add'l Fee
x \$9 =	
x 42 =	
+ \$140 =	
Total add'l fee	\$

Other Than Small Entity	
Rate	Add'l Fee
x \$18 =	
x \$84 =	
+ \$280 =	
Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$ 180.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Craig A. Summerfield  
Registration No. 37,947  
Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on December 21, 2001.

Date: 12/21/01

Signature:

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TC 1700



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Box Non-Fee Amendment, Commissioner for Patents, Washington, D.C. 20231 on  
December 21, 2001

Craig A. Summerfield, Reg. No. 37,947

Name of Applicant, assignee or Registered Representative

Craig A. Summerfield  
Signature of above

December 21, 2001

Date of Signature

#14/B  
10  
2/6/02

PATENT  
Our Case No. 7103-93

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Cangshan Xu, et al.

Serial No. 09/386,745

Filing Date: August 31, 1999

For WINDOWLESS BELT AND  
METHOD FOR IMPROVED IN-SITU  
WAFER MONITORING

Examiner: V. Perez-Ramos

Group Art Unit No. 1765

**SECOND RESPONSE AND AMENDMENT**

Box Non-Fee Amendment  
Commissioner for Patents  
Washington, D.C. 20231

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TC 1700

Dear Sir:

In response to the Office Action dated October 25, 2001, please enter the following amendments and consider the following remarks.

**AMENDMENT**

Please rewrite claim 1, 6 and 7 as follows:

1. (amended) A belt comprising (a) a polishing surface for polishing a workpiece in a linear chemical mechanical polishing system and (b) a side opposite the polishing

B1  
Sub  
D1